

UNITED STATES PATENT AND TRADEMARK OFFICE

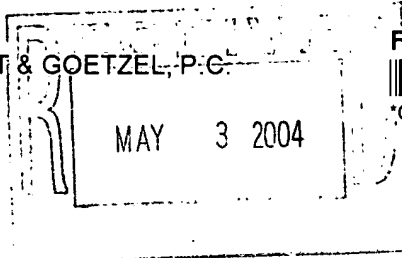
UNITED STATES DEPARTMENT OF COMMERCE
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IFWV

APPLICATION NUMBER	FILING OR 371 (c) DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/763,060	01/22/2004	Ashavani Kumar	5828-00300

35690

MEYERTONS, HOOD, KIVLIN, KOWERT & GOETZEL, P.C.
 P.O. BOX 398
 AUSTIN, TX 78767-0398



CONFIRMATION NO. 3726

FORMALITIES LETTER



OC000000012470099

Date Mailed: 04/28/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$900 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

Replies should be mailed to: Mail Stop Missing Parts
 Commissioner for Patents
 P.O. Box 1450
 Alexandria VA 22313-1450

08/03/2004 HASFAW1 00000099 501505 10763060
 01 FC:1001 770.00 DA
 02 FC:1051 130.00 DA

A copy of this notice MUST be returned with the reply.

MBuong

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY



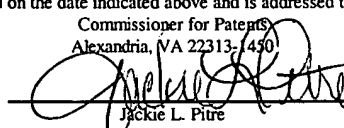
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/763,060
Confirmation No.: 3726
Filed: January 22, 2004
Inventors:
Kumar et al.

Title: PROCESS FOR PHASE
TRANSFER OF
HYDROPHOBIC
NANOPARTICLES

§ Examiner: Unknown
§ Art Unit: 1724
§ Atty. Dkt. No: 5828-00300
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<p>CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8</p> <p>DATE OF DEPOSIT: 7/28/04</p> <p>I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail on the date indicated above and is addressed to:</p> <p>Commissioner for Patents Alexandria, VA 22313-1450</p> <p> Jackie L. Pitre</p>

**RESPONSE TO NOTICE TO FILE MISSING PARTS
OF NONPROVISIONAL APPLICATION MAILED APRIL 28, 2004**

MS MISSING PARTS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicant encloses the following in response to the Notice to File Missing Parts of Nonprovisional Application:

- (1) Copy of Notice to File Missing Parts of Nonprovisional Application (2 pages);
- (2) Originally executed Declaration (3 pages);
- (3) Form PTO-1595 Assignment Recordation Cover Sheet (1 page);
- (4) Copy of originally executed Assignment (2 pages);
- (5) Originally executed Election Under 37 C.F.R. §§ 3.71 and 3.73 and Power of Attorney (2 pages);

08/03/2004 HASFAH1 00000099 501505 10763060
03 FC:1251 110.00 DA

- (6) Fee Authorization in the amount of \$1,050.00 to cover the filing fee as follows (2 pages):

\$ 770.00 -- basic filing fee;
\$ 110.00 -- extension of time fee;
\$ 130.00 -- surcharge for late filing of declaration and fee;
\$ 40.00 -- assignment recordation fee; and

- (7) A return postcard.

Applicant respectfully requests a one month extension of time to respond to the Formalities Letter mailed April 28, 2003. A fee authorization form including \$110.00 is enclosed for the extension of time fee. If any further extension of time is required, Applicant hereby respectfully requests the appropriate extension of time. If any fees are omitted or if any additional fees are required or have been overpaid, please appropriately charge or credit those fees to Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C. Deposit Account Number 50-1505/5828-00300/EBM.

Respectfully submitted,



David W. Quimby
Reg. No. 39,338

MEYERTONS, HOOD, KIVLIN, KOWERT & GOETZEL, P.C.
P.O. BOX 398
AUSTIN, TX 78767-0398
(512) 853-8800 (voice)
(512) 853-8801 (facsimile)

Date: July 28, 2004



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/763,060
Confirmation No.: 3726
Filed: January 22, 2004
Inventors:
Kumar et al.

Title: PROCESS FOR PHASE
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§ Examiner: Unknown
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FEE AUTHORIZATION

MS MISSING PARTS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

The Commissioner is hereby authorized to charge the following fees to Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C. Deposit Account Number 50-1505/5828-00300:

- \$ 770.00 -- basic filing fee;
- \$ 110.00 -- extension of time fee;
- \$ 130.00 -- surcharge for late filing of declaration and fee;
- \$ 40.00 -- assignment recordation fee


Total Amount: \$1,050.00

Attorney Docket No.: 5828-00300

Kumar et al.
10/763,060

The Commissioner is also authorized to charge any extension fee or other fees which may be necessary to the same account number.

Respectfully submitted,



David W. Quimby
Reg. No. 39,338

Attorney for Applicant

MEYERTONS, HOOD, KIVLIN, KOWERT & GOETZEL, P.C.
P.O. BOX 398
AUSTIN, TX 78767-0398
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Date: July 28, 2004